

JCO6 PCT/PTO 25 AUG 2005 #3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Robert H. TILLOTSON

Appln. No. 10/517,662 ✓

Confirmation No. 5612

Filed: December 13, 2004

For: LIGHT FITTING

Art Unit: Not Yet Assigned

Examiner: To Be Assigned

Atty. Docket No. 31229-211727

Customer No.

26694

PATENT TRADEMARK OFFICE

RESPONSE TO MISSING REQUIREMENTS UNDER 35 U.S.C. § 371

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Attention: MAIL STOP - MISSING PARTS

Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C. § 371 of Nonprovisional Application dated December 13, 2005, submitted herewith are the following:


1. Transmittal Form;
2. Fee Transmittal;
3. A Copy of the Notification of Missing Requirements Under 35 U.S.C. § 371 of May 25, 2005;
4. Petition for Extension of Time (1-month) ;
5. Power of Attorney;
6. The Declaration for Utility or Design Application;

Applicant: Robert H. TILLOTSON
Appln. No. 10/517,662

Please charge the above-referenced fees, which total \$125.00, to our Deposit Account No. 22-0261. Should there be any additional fees required, or any overpayment made, please charge or credit the same our Deposit Account accordingly.

Respectfully submitted,

Date: August 25, 2005



Michael A. Sartori, Ph.D.
Registration No. 41,289
VENABLE LLP
P.O. Box 34385
Washington, D.C. 20043-9998
Telephone: (202) 344-4000
Telefax : (202) 344-8300

MAS/bap
#676366/1

Rec'd PCT/PTO 25 AUG 2005 10/517662

Approved for use through 12/08/2005. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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#3

PTO FEE TRANSMITTAL for FY 2005

Patent fees are subject to annual revision.

AMOUNT OF PAYMENT (\$) 170

Complete if Known

Application Number 10/517,662
Filing Date December 13, 2004
First Named Inventor Robert H. TILLOTSON
Examiner Name Not Yet Assigned
Group / Art Unit Not Yet Assigned
Attorney Docket No. 31229-211727

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:
- Deposit Account Number 22-0261
- Deposit Account Name Venable LLP
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------|-----------------|----------------|-----------------|------------------------|----------|
| 1011 | 300 | 2011 | 150 | Utility filing fee | |
| 1012 | 200 | 2012 | 100 | Design filing fee | |
| 1013 | 200 | 2013 | 100 | Plant filing fee | |
| 1014 | 300 | 2014 | 150 | Reissue filing fee | |
| 1005 | 200 | 2005 | 100 | Provisional filing fee | |
| 1081 | 250 | 2081 | 125 | Utility App. Size Fee | |
| 1082 | 250 | 2082 | 125 | Design App Size Fee | |
| 1083 | 250 | 2083 | 125 | Plant App. Size Fee | |
| 1084 | 250 | 2084 | 125 | Reissue App Size Fee | |
| 1085 | 250 | 2085 | 125 | Prov. App Size Fee | |

SUBTOTAL (1) (\$0)

2. EXTRA CLAIM FEES

| | | | | | | | |
|--------------------|-------|---|--------------|---|----------------|---|----------|
| Total Claims | 20 ** | = | Extra Claims | X | Fee from below | = | Fee Paid |
| Independent Claims | 3 ** | = | | X | | = | |
| Multiple Dependent | | = | | X | | = | |

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description |
|----------------|-----------------|----------------|-----------------|--|
| 1202 | 50 | 2202 | 25 | Claims in excess of 20 |
| 1201 | 200 | 2201 | 100 | Independent claims in excess of 3 |
| 1203 | 360 | 2204 | 180 | Multiple dependent claim, if not paid |
| 1204 | 200 | 2204 | 100 | ** Reissue independent claims in excess of three |
| 1205 | 50 | 2205 | 25 | ** Reissue claims in excess of 20 and over original patent |

08/31/2005 ATRAN1 00000109 220261 10517662
01 FC:2617 65.00 DA
SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

| Fee Code | Lrg Ent Fee (\$) | Fee Code | Sm Ent Fee (\$) | Fee Description | Fee Paid |
|----------|------------------|----------|-----------------|--|----------|
| 1111 | 500 | 2111 | 250 | Utility Search Fee | |
| 1112 | 100 | 2112 | 50 | Design Search Fee | |
| 1113 | 300 | 2113 | 150 | Plant Search Fee | |
| 1114 | 500 | 2114 | 250 | Reissue Search Fee | |
| 1311 | 200 | 2311 | 100 | Utility Examination Fee | |
| 1312 | 130 | 2312 | 65 | Design Examination Fee | |
| 1313 | 160 | 2313 | 80 | Plant Examination Fee | |
| 1314 | 600 | 2314 | 300 | Reissue Examination Fee | |
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | \$65.00 |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet. | |
| 1053 | 130 | 1053 | 130 | Non-English specification | |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for reexamination | |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 | 120 | 2215 | 60 | Extension for reply within first month | 60.00 |
| 1252 | 450 | 2252 | 225 | Extension for reply within second month | |
| 1253 | 1,020 | 2253 | 510 | Extension for reply within third month | |
| 1254 | 1,590 | 2254 | 795 | Extension for reply within fourth month | |
| 1255 | 2,160 | 2255 | 1080 | Extension for reply within fifth month | |
| 1401 | 500 | 2401 | 250 | Notice of Appeal | |
| 1402 | 500 | 2402 | 250 | Filing a brief in support of an appeal | |
| 1403 | 1,000 | 2403 | 500 | Request for oral hearing | |
| 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| 1452 | 500 | 2452 | 250 | Petition to revive - unavoidable | |
| 1453 | 1,500 | 2453 | 750 | Petition to revive - unintentional | |
| 1501 | 1,400 | 2501 | 700 | Utility issue fee (or reissue) | |
| 1502 | 800 | 2502 | 400 | Design issue fee | |
| 1503 | 1,100 | 2503 | 550 | Plant issue fee | |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17 (q) | |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 | 790 | 2809 | 395 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 1810 | 790 | 2810 | 395 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 1801 | 790 | 2801 | 395 | Request for Continued Examination (RCE) | |

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$125.00)

SUBMITTED BY Complete (if applicable)

Name (Print/Type) Michael A. Sartori, Ph.D.

Signature

Reg No. Attorney/Agent) 41,289

Date

08/25/05

Telephone 202-344-4000

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. SEND TO: Commissioner for Patents, Mail Stop Patent Application, Alexandria, VA 22313-1450.

VENABLE

PC Docs No. 2/675216

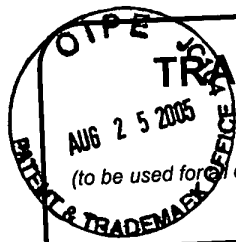
Rec'd PCT/PTO 25 AUG 2005

10/517,662

PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box → ☒

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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TRANSMITTAL FORM

(to be used for correspondence after initial filing)

| | | | |
|--|---|------------------------|---------------------|
| Total Number of Pages in This Submission | 5 | Application Number | 10/517,662 |
| | | Filing Date | December 13, 2004 |
| | | First Named Inventor | Robert H. TILLOTSON |
| | | Group Art Unit | |
| | | Examiner Name | To Be Assigned |
| | | Attorney Docket Number | 31229-211727 |

ENCLOSURES (check all that apply)

| | | |
|--|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Preliminary Amendment <input type="checkbox"/> After Final <input checked="" type="checkbox"/> Inventor Declaration (2 pp.) <input checked="" type="checkbox"/> Extension of Time Request for 1-month <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement; Form PTO/SB/08A <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Notice to File Missing Parts | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawings <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <p>Copy of Notice to File Missing Parts</p> |
| Remarks | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

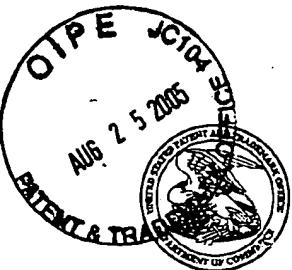
| | | |
|-------------------------|--|----------------------------------|
| Firm or Individual name | Michael A. Sartori, Ph.D. Reg. No. 41,289 | 26694 ATTENT TRADEMARK OFFICE |
| Signature | | |
| Date | August 25, 2005 | |

CERTIFICATE OF MAILING

| | | | |
|--|--|--|------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450b on this date: _____ | | | |
| Typed or printed name | | | Date |
| Signature | | | |

VENABLE
ATTORNEYS AT LAW

SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, 22313-1450.
#676176



PCT #

UNITED STATES PATENT AND TRADEMARK OFFICE

Rec'd PCT/PTO 25 AUG 2005

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
|-----------------------------|-----------------------|------------------|
| 10/517,662 | Robert H TILLOTSON | 31229-211727 |

| INTERNATIONAL APPLICATION NO. |
|-------------------------------|
|-------------------------------|

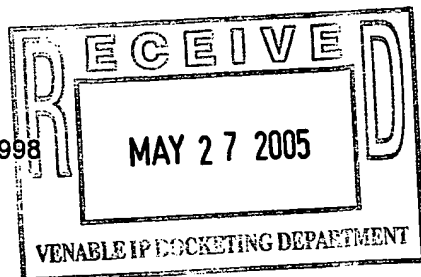
PCT/GB03/02537

| I.A. FILING DATE | PRIORITY DATE |
|------------------|---------------|
|------------------|---------------|

06/12/2003

06/13/2002

26694
VENABLE LLP
P.O. BOX 34385
WASHINGTON, DC 20045-9998



CONFIRMATION NO. 5612

371 FORMALITIES LETTER



OC000000016044830

Date Mailed: 05/25/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 12/13/2004
- Copy of the International Search Report filed on 12/13/2004
- Copy of IPE Report filed on 12/13/2004
- Copy of Annexes to the IPER filed on 12/13/2004
- Information Disclosure Statements filed on 12/13/2004
- U.S. Basic National Fees filed on 12/13/2004
- Priority Documents filed on 12/13/2004

DATES DOCKETED

Missing requirements

| | |
|----------|----------|
| DUE: | 7/25/05 |
| EX. DCD: | 12/25/05 |
| DKTED BY | ham |

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*